



## **E-Authentication & HSPD 12**

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# What is the relationship between E-Authentication and HSPD 12?

- Purpose and objectives
- Policy drivers and requirements
- Technical Infrastructure
- Areas of shared interest and responsibility



# Identity Management Initiatives

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- **HSPD-12: Common Identification Standard for Federal Employees and Contractors**
  - Improve the security of facilities and IT systems
  - Integrate physical security, information security, and human resources
  - Create a minimum level of trust across the Federal government because of minimum background check
- **U.S. E-Authentication E-Government Initiative**
  - Support e-gov using Federated Identity Management
  - Accept non-Federally issued online credentials from members of E-Auth Federation



# HSPD-12 Credentials will be issued by agencies to:

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- Federal Employees
- Contractors who require access to federally controlled facilities/information systems
- Other categories based on agency risk (e.g. guest researchers, volunteers, temporary employees under 6 mths)

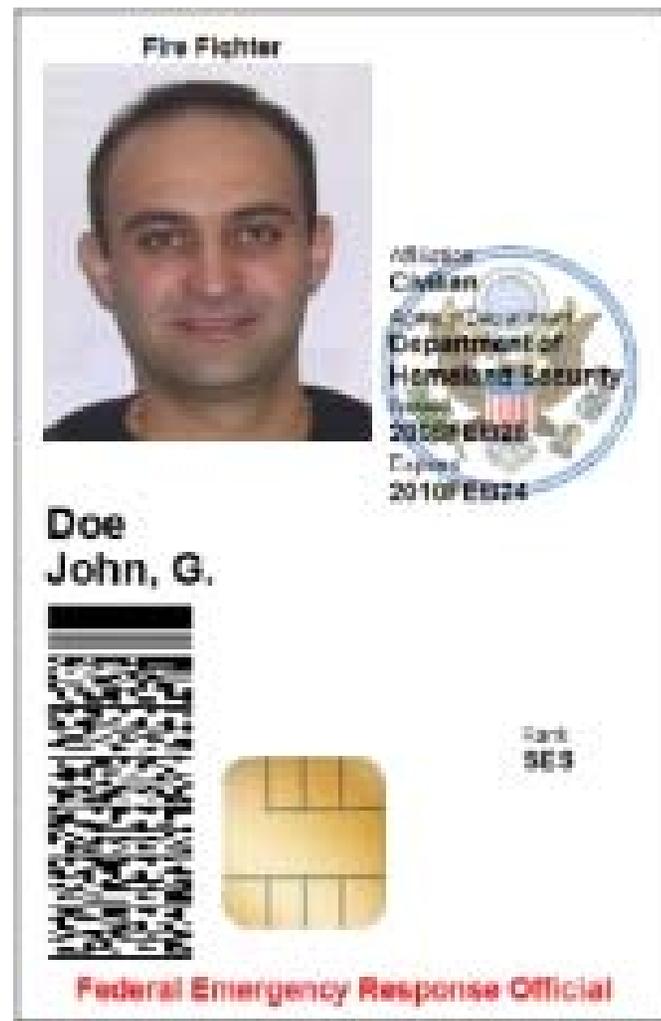
Based on standard background investigation conducted by OPM

First Deadline to Issue Cards: October 2006



# What does it mean?

- Standard ID for all Federal Employees and Contractors
  - Same look
  - Technically Interoperable
  - Standard data storage requirements
  - Used to access IT systems and facilities
- Contact/Contactless Smart ID card





# Implications

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- Reaffirms the existing “background Checks” required by EO, but new requirement for some government contractors.
- Controls in place to limit use to Federal Government (Facilities/systems)
- Designed to provide routine access to employees and contractors
- Pushing the limits of industry for interoperability (e.g. biometrics)



## E★ Authentication: Purpose

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- Enable millions of safe, secure, trusted online transactions between Government and the citizens and businesses that it serves
- Reduce online identity management / credentialing burden for government agency application owners and system administrators
- Provide **citizens and businesses** with a choice of credentials when accessing online government agency applications



# E-Auth uses private sector Credential Service Providers

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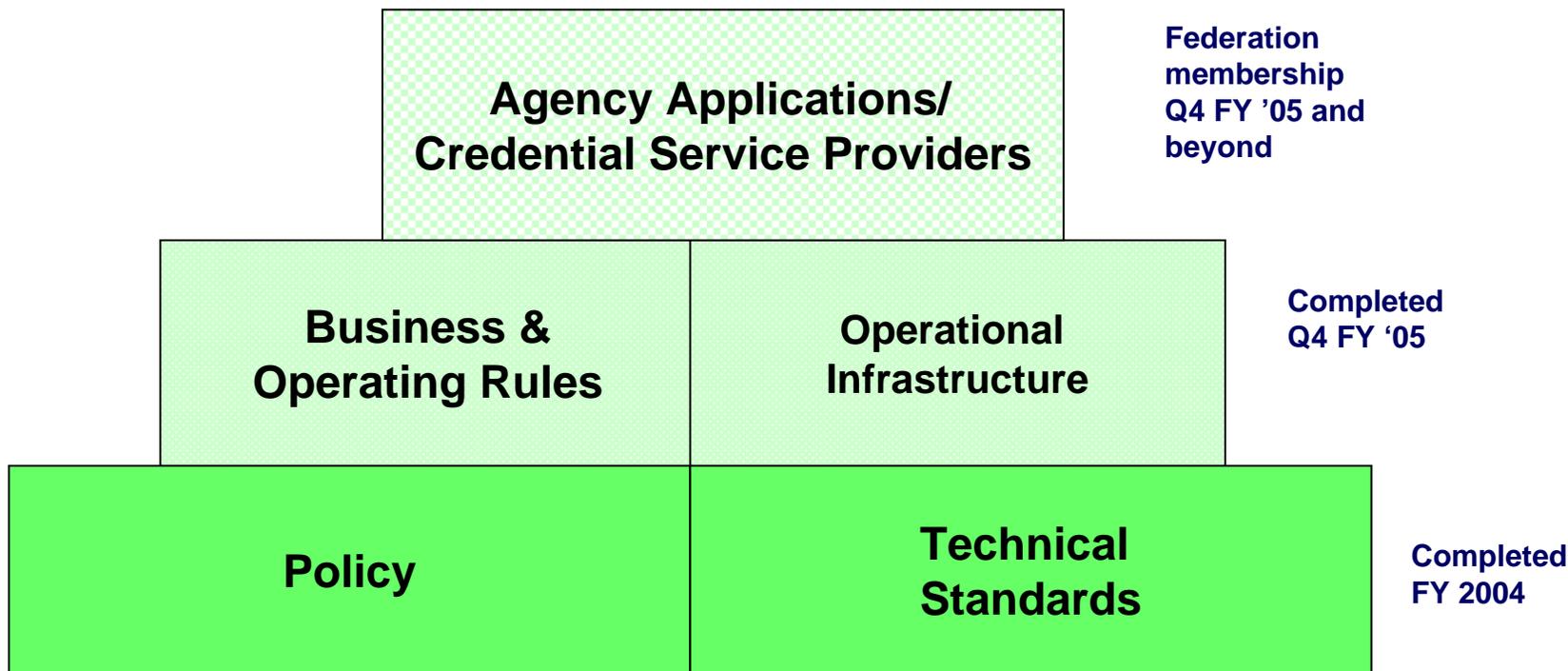
- Technology Providers/Certificate Authorities
- Financial institutions
- For a limited time ONLY, government credential providers

## Future Credential Service Providers

- Credit Card Companies
- Internet Service Providers
- E-Commerce Providers
- State & local government
- Healthcare
- Universities



# E-Auth: Pieces





# E-Auth: Technical Standards

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- The architecture is based on industry best-practices
  - Open standards-based, federated identity management
  - Security Assertion Markup Language (SAML) 1.0 in place now, SAML 2.0 support planned
  - Liberty Alliance and WS-Federation support is also planned
- First-of-its-kind Interoperability Lab supports
  - Product testing
  - Technical support
  - Private Industry and Agency Application testing
- Architecture supported by interoperable products
  - 9 products on Approved E-Authentication Technology Providers List – meaning all have demonstrated interoperability using SAML 1.0 artifact profile





# Implications

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- Standardization of Federal E-Auth requirements
- Agency Consolidation of Identity Management infrastructure
- Adoption of Standard E-Auth Federation business and operating rules
- Furtherance of privacy goals and citizen choice



# Technical Infrastructure

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- For Level 3 and 4 credentials govt-wide infrastructure is shared.
  - Leverages Federal PKI infrastructure
  - ACES certificates
- Agencies can use the same infrastructure for identity management/logical access
  - Use approved e-authentication products
  - e.g. USDA's level 2 applications can be used by both employees and outside parties.



## Technical Infrastructure: Cont'd

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- Step Down Translator
  - Infrastructure being developed to convert digital certificates to SAML assertions
  - Will allow FIPS 201 card holders to use their credential for E-Authentication's Level 1 and 2 applications
- Path Discovery and Validation



## Other Possibilities

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- Industry Adoption of FIPS 201
  - Transportation Credentials
  - State and local government
  - Legislative Branch
  - Other industries/employee credentials
- Health Care
  - Adoption of Federated Identity



# Resources

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- E-Authentication
  - [www.cio.gov/eauthentication](http://www.cio.gov/eauthentication) (PMO site)
  - <http://asc.gsa.gov> (portal)
  
- HSPD-12
  - <http://csrc.nist.gov/piv-project>
  - <http://www.smart.gov/fips201apl>