

**08**

**SECTION**

# **ADDITIONAL RESOURCES**

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# 9. Additional Resources

## 9.1 CIO Council Resources

### [Report to the President on Federal IT Modernization](#)

In May 2017, President Trump issued Executive Order 13800, Strengthening the Cybersecurity of Federal Networks and Critical Infrastructure,<sup>334</sup> which commissioned the Federal IT Modernization Report to describe the legal, policy, and budgetary considerations around federal network architectures and provide recommendations to improve security, make Federal IT more agile and responsive, and make infrastructure more cost effective.

The Report to the President on Federal IT Modernization<sup>335</sup> was produced in December 2017 and outlines the White House’s American Technology Council and the Office of Science and Technology Policy’s vision and recommendations to modernize citizen-facing services. The report incorporates feedback from more than 100 companies and individuals, as well as extensive input from agencies and IT policy experts throughout the federal government.

The report chiefly recommended network modernization and consolidation, a shift toward shared services to enable future network architectures, and providing additional resources for federal network IT modernization. All recommendations made in the report were to be completed no more than 365 days after publication, and there are not current, ongoing requirements. The report heavily influenced the PMA, which established the White House’s 2018 priorities.<sup>336</sup>

### [Application Rationalization Playbook](#)

In collaboration with OMB and GSA, the Application Rationalization Playbook<sup>337</sup> was developed and finalized in June 2019 by the Federal CIOC in support of the Federal Cloud Computing Strategy,<sup>338</sup> also known as “Cloud Smart”. It was designed for IT Portfolio Managers to consider their agency’s approach to IT modernization. Additional guidance and policies germane to application rationalization include: the Federal IT Modernization Report<sup>339</sup> which was issued in December 2017; EO 13800, Strengthening the Cybersecurity of Federal Networks and Critical Infrastructure which was issued in May 2017; and Category Management Policy 16-1: Improving the Acquisition and Management of Common Information Technology: Software Licensing which was issued by OMB as Memorandum M-16-12.

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<sup>334</sup> Executive Order 13800. Presidential Executive Order on Strengthening the Cybersecurity of Federal Networks and Critical Infrastructure. 5/11/2017. <https://www.whitehouse.gov/presidential-actions/presidential-executive-order-strengthening-cybersecurity-federal-networks-critical-infrastructure/>

<sup>335</sup> CIO Council. Report to the President on Federal IT Modernization. December 2017.

<https://www.cio.gov/assets/resources/Report-to-the-President-on-IT-Modernization-Final.pdf>

<sup>336</sup> The White House. President’s Management Agenda. April 2018.

[https://www.performance.gov/PMA/Presidents\\_Management\\_Agenda.pdf](https://www.performance.gov/PMA/Presidents_Management_Agenda.pdf)

<sup>337</sup> CIO Council. The Application Rationalization Playbook. <https://www.cio.gov/assets/files/Application-Rationalization-Playbook.pdf>

<sup>338</sup> OMB. Federal Cloud Computing Strategy. <https://cloud.cio.gov/strategy/>

<sup>339</sup> CIO Council. Report to the President on Federal IT Modernization. December 2017.

<https://www.cio.gov/assets/resources/Report-to-the-President-on-IT-Modernization-Final.pdf>

Application rationalization helps federal agencies mature IT portfolio management capabilities, empower leaders to make informed decisions, and improve the delivery of key mission and business services. It requires buy-in from stakeholders across the enterprise, including senior leaders, technology staff members, cybersecurity experts, business leads, financial practitioners, acquisition and procurement experts, and end user communities. Rationalization efforts rely on leadership support and continual engagement with stakeholders to deliver sustainable change. The playbook addresses challenges and opportunities for IT leaders, managers, and technical practitioners, and offers suggestions on how to overcome structural, logistical, and other significant barriers to success.

## SOFIT

In January 2017, the CIOC released the State of Federal IT (SOFIT) report, which provided a comprehensive examination of the successes and challenges facing the Federal IT policy landscape. In addition, it provides recommendations on a variety of initiatives in order to improve Federal IT.

## Future of the Federal IT Workforce Update

Drawing upon the workforce-related CAP Goals in the PMA, and building on the success of SOFIT, the CIOC undertook a similar examination of the Federal IT workforce and developed the Future of the Federal IT Workforce Update<sup>340</sup> report in May 2020 as an update to SOFIT.

The update is organized around five Primary Issue Areas (PIAs) which form the essential actions required to build an IT workforce for the future. Each PIA is dependent upon the others, and together they form the pillars of a modern, adaptable, and effective Federal IT workforce.

- **Recruit/Hire:** As an increasing number of Federal employees near retirement eligibility, it is essential that Government is able to quickly and efficiently recruit and hire the best IT talent in order to adapt to constantly evolving technologies.
- **Retain:** Government will need to offer its IT workforce opportunities for growth, access to cutting-edge technological tools, and rewards for high performance so they will want to continue to serve agency missions and the public good.
- **Reskill:** Agency-specific and Governmentwide training opportunities will keep IT workers flexible and adaptable in order to keep up with both the pace of innovation and changes that will continue to disrupt the way we conduct work.
- **Augment:** The Federal IT workforce must continue to be supported by agile, flexible groups from both within Government and the private sector, providing surge capacity, access to expertise in cutting-edge process improvements, and emerging or highly specialized technological capabilities.
- **Measure:** Without sufficient qualitative and quantitative data, it will be impossible to gauge successes. Opportunities to leverage data will be identified in order to chart the best path forward by providing a focus on measuring alongside each of the other PIAs.

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<sup>340</sup> CIO Council. Future of the Federal IT Workforce Update. May 2020.

[https://www.cio.gov/assets/resources/Future\\_of\\_Federal\\_IT\\_Workforce\\_Update\\_Public\\_Version.pdf](https://www.cio.gov/assets/resources/Future_of_Federal_IT_Workforce_Update_Public_Version.pdf)

The Drivers of the Future of the IT Workforce underpin each of the PIAs. The PIAs must be examined in the light of every driver and the roles these drivers play in shaping the workforce. The considerations for each driver of the future can be described as follows:

- **Innovation:** The increasing pace of technological change is constantly impacting the modern workplace. Recent years have seen changes ranging from the adoption of new programming languages and cloud-based applications to paradigm shifts in emerging technologies, such as robotic process automation and machine learning. Additional training and collaboration opportunities will enable the IT workforce to be flexible enough to adapt to these changes, enabling agencies to execute their missions.
- **Mobility:** Increased flexibility in all of the PIAs will allow the Federal Government to adapt to the workforce of the future. This includes providing vertical career mobility and rewarding high performers, as well as horizontal career mobility opportunities such as reskilling, detailing, and industry exchange programs.
- **Cybersecurity:** All IT work requires some degree of security knowledge and protections, from basic sharing of unclassified documents to defending the nation’s most critical IT assets. As such, a skilled and qualified IT workforce is needed to manage an increasingly complex array of security policies and tools to mitigate evolving threats.
- **Collaboration:** As the world grows increasingly more interconnected, so must the Federal IT workforce. This includes coordinating across agencies and cross-functional teams. With the rise of regional offices and improved telework technologies, a more geographically dispersed workforce can now be productive over vast physical distances.
- **Agility:** The Federal Government needs to adapt and scale its use of technology more quickly than ever before. In addition to utilizing agile development methodology and continuous improvement, processes and procedures must also minimize downtime and be adaptable to changing circumstances and expectations in the workforce.

### [CISO Handbook](#)

This handbook gives [CISOs](#) an overview of their roles and responsibilities in relation to Federal cybersecurity. It highlights laws, policies, tools, and initiatives that can be used to create or amend cybersecurity programs.<sup>341</sup>

This handbook aims to:

- Educate and inform new and existing CISOs about their role in successfully implementing Federal cybersecurity;
- Provide resources to help CISOs responsibly apply risk management principles to help Federal agencies meet mission objectives; and
- Make CISOs aware of laws, policies, tools, and initiatives that can assist them as they develop or improve cybersecurity programs for their organizations.

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<sup>341</sup> CIO Council. Guidance for Chief Information Security Officers (CISO). <https://www.cio.gov/resources/ciso-handbook/>

## 9.2 NIST Resources

### NIST Risk Management Framework (RMF)

The NIST RMF<sup>342</sup> provides a foundational process that integrates security and risk management activities into the system development life cycle and brings many of the NIST documents together into an overall approach to managing risk. The risk-based approach to security control selection and specification considers effectiveness, efficiency, and constraints due to applicable laws, directives, Executive Orders, policies, standards, or regulations.<sup>343</sup>

CIOs must conduct program portfolio reviews as part of CPIC to ensure that all programs and the CIO are meeting the requirements of FITARA. This includes a CIO evaluation report to OMB for major IT investments that relate to mission delivery and mission support services investments and standard IT services investments that pertain to IT infrastructure, IT security, and IT management investments. However, CIO evaluations can also be provided for other investment types at the CIOs discretion.

### NIST Publications

NIST publishes and creates archives of standards, guidelines, recommendations, and research relating to the security and privacy of information and information systems.

Some examples include:

- Federal Information Processing Standards (FIPS) – FIPS establish mandatory requirements for information processing.
- NIST Special Publications (SPs) – SPs provide guidance for developing agency-wide information security programs, including guidelines, technical specifications, recommendations, and reference materials. NIST SPs comprise multiple sub-series:
  - The NIST SP 800-series focuses on computer security, and
  - The NIST SP 1800-series provides cybersecurity practice guides.
- NIST Internal or Interagency Reports (NISTIRs) – NISTIRs are reports of research findings, including background information for FIPS and SPs.
- NIST Information Technology Laboratory Bulletins (ITL Bulletins) – ITL Bulletins are monthly overviews of NIST's security and privacy publications, programs, and projects.

### NIST Cybersecurity Framework (CSF)

The NIST CSF is a tool originally developed for the private sector that agencies must implement to manage cybersecurity risk in accordance with Executive Order 13800. The CSF can serve as the foundation for a new cybersecurity program or a mechanism for improving an existing program.

An organization can use the CSF as a key part of its systematic process for identifying, assessing, and managing cybersecurity risk. It can help an organization determine which activities are most important to critical service delivery, prioritize expenditures and maximize the impact of investment. The CSF is designed to complement existing business and cybersecurity operations. It provides a means of expressing cybersecurity requirements to business partners and customers and can help identify gaps in

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<sup>342</sup> NIST. Risk Management Framework (RMF) Overview. <https://csrc.nist.gov/projects/risk-management/rmf-overview>

<sup>343</sup> Ibid.

an organization's cybersecurity practices. It also provides a general set of processes for considering privacy and civil liberties implications in the context of a cybersecurity program.

The CSF consists of three parts: the CSF Core, the CSF Profile and the CSF Implementation Tiers. The CSF Core is a set of cybersecurity activities, outcomes and informative references that are common across organizations, providing detailed guidance for developing individual organizational profiles. CSF Profiles help the organization align its cybersecurity activities with its business requirements, risk tolerances and resources. The CSF Implementation Tiers provide a mechanism for organizations to view and understand the characteristics of their approach to managing cybersecurity risk.

Figure 2, Notional Information and Decision Flows within an Organization,<sup>344</sup> describes a common flow of information and decisions at the executive, business/process, and implementation/operations levels within an organization.

OMB and DHS have organized the CIO FISMA metrics around the Cybersecurity Framework, leveraging it as a standard for managing and reducing cybersecurity risks and using the core functions to organize the information agencies must submit.

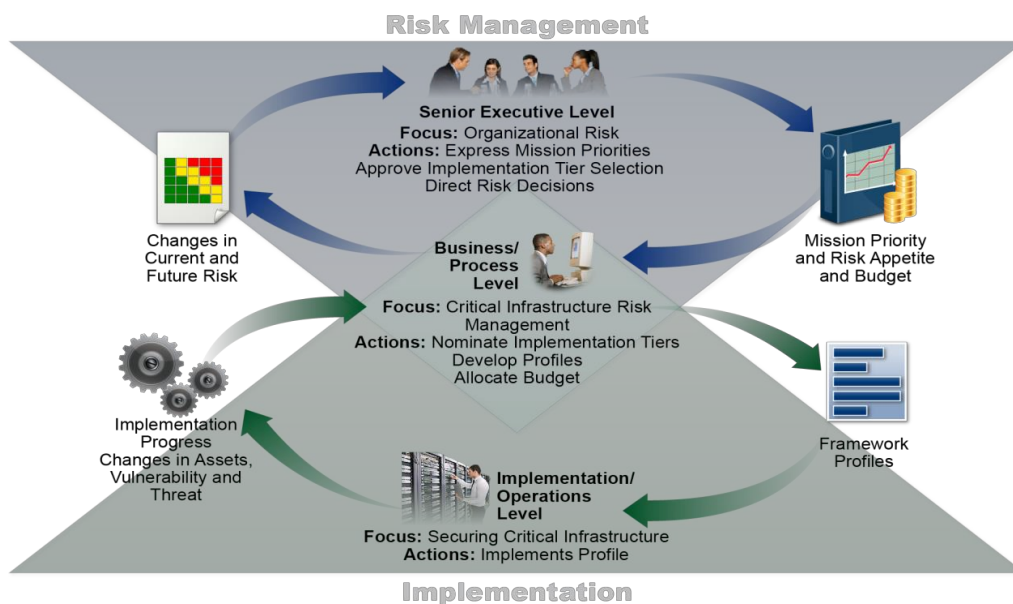


Figure 2: Notional Information and Decision Flows within an Organization

### National Initiative for Cybersecurity Education (NICE) Framework

The NICE Cybersecurity Workforce Framework (NICE Framework)<sup>345</sup> is led by NIST at the DOC. The NICE Framework serves as a guide with a collection of common language, classifications, and vocabulary to describe cybersecurity activities and employees. It is meant for a variety of audiences including employers, current and prospective jobs holders, and academic advisors.

<sup>344</sup> NIST. Framework for Improving Critical Infrastructure Cybersecurity. Page. 12. <https://nvlpubs.nist.gov/nistpubs/CSWP/NIST.CSWP.04162018.pdf>

<sup>345</sup> US-CERT. NICE Cybersecurity Workforce Framework. <https://niccs.us-cert.gov/workforce-development/cyber-security-workforce-framework>

The NICE Framework includes the following:

- Categories (7)
  - A high-level cluster of common cybersecurity functions
- Specialty Areas (33)
  - Specific areas of cybersecurity work
- Work Roles (52)
  - Detailed lists of cybersecurity work necessary for someone to be aware of to fulfill a job function
- Capability Indicators
  - Combines education, certification, training, experiential learning and continuous learning useful to help someone succeed in a role<sup>346</sup>

## 9.3 DHS Resources

### **National Initiative for Cybersecurity Careers and Studies (NICCS)**

NICCS, an official website of CISA, is an online resource for cybersecurity training. The courses in the training catalog are cybersecurity focused and delivered by accredited universities, National Centers of Academic Excellence, federal agencies, and other training providers. Each course is mapped to the National Cybersecurity Workforce Framework, the foundation of the National Initiative for Cybersecurity Education (NICE) effort to standardize the cybersecurity field.<sup>347</sup>

### **Federal Virtual Training Environment (FedVTE)**

The FedVTE provides free online cybersecurity training to federal, state, local, tribal, and territorial (SLTT) government employees, federal contractors, US military veterans and the public. Managed by DHS, FedVTE contains more than 800 hours of training on topics such as ethical hacking and surveillance, risk management, and malware analysis.<sup>348</sup>

Training, as referred to in the Future of the Federal IT Workforce Update<sup>349</sup> report, is a fundamental component of reskilling opportunities within the Federal Government and helps further the goal of enhancing the national cybersecurity posture. By ensuring that all IT workers have cybersecurity training that is broad enough to at least cover the basics of good cyber hygiene, the potential decreases for breaches to occur through phishing attacks or the introduction of malware.

Register for FedVTE training at <https://fedvte.usalearning.gov/>.

### **FISMA Metrics**

Each year, three sets of [FISMA](#) metrics are developed and used to evaluate the performance of agency cybersecurity and privacy programs.

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<sup>346</sup> Ibid.

<sup>347</sup> US-CERT. Learn about NICCS. <https://niccs.us-cert.gov/about-niccs/learn-about-niccs>

<sup>348</sup> US-CERT. Federal Virtual Training Environment (FedVTE). <https://niccs.us-cert.gov/training/federal-virtual-training-environment-fedvte>

<sup>349</sup> CIO Council. Future of the Federal IT Workforce Update. May 2020. [https://www.cio.gov/assets/resources/Future\\_of\\_Federal\\_IT\\_Workforce\\_Update\\_Public\\_Version.pdf](https://www.cio.gov/assets/resources/Future_of_Federal_IT_Workforce_Update_Public_Version.pdf)

1. FISMA CIO metrics are developed by OMB and DHS in close coordination with members of the CIO and CISO Communities and assess the degree to which agencies have implemented certain cybersecurity-related policies and capabilities. CFO Act agencies report this information on a quarterly basis, and non-CFO Act agencies report this information twice annually. These metrics ensure demonstrable progress from agencies' in implementing the Administration's priorities and best practices.
2. FISMA IG metrics are developed by the CIGIE, in collaboration with OMB and DHS, and are used to provide the independent assessment required under FISMA.
3. FISMA SAOP metrics are used to assess the maturity of agency privacy programs. Both the FISMA IG and FISMA SAOP metrics are collected on an annual basis and, along with the fourth quarter FISMA CIO metrics, are reported in the Annual FISMA Report.<sup>350</sup>

FISMA metrics from the current and previous years can be found at [CISA.gov](https://www.cisa.gov) for FISMA documents.

## 9.4 GSA Resources

### Highly Adaptive Cybersecurity Services (HACS) Special Item Numbers (SINs)

GSA, in collaboration with DHS and OMB, developed the Highly Adaptive Cybersecurity Services (HACS) Special Item Numbers (SINs) to make it easier for agencies to procure quality cybersecurity services.<sup>351</sup> The program is designed to provide government organizations with access to cybersecurity vendors and to meet the IT security requirements outlined in OMB M-19-03,<sup>352</sup> M-17-12,<sup>353</sup> and the CISO Handbook.<sup>354</sup> They are available through the Multiple Award Schedule (MAS) IT procurement process.

The scope of HACS SINs includes five categories of cybersecurity services for which vendors in the GSA eLibrary have passed a technical evaluation for the categories:

- High Value Asset Assessments
  - Risk and Vulnerability Assessments (RVA)
  - Security Architecture Review (SAR)
  - Systems Security Engineering (SSE)
- RVA
- Cyber Hunt
- Incident Response

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<sup>350</sup> CIO Council. CISO Handbook. Page 25. [https://www.cio.gov/assets/resources/CISO\\_Handbook.pdf](https://www.cio.gov/assets/resources/CISO_Handbook.pdf)

<sup>351</sup> GSA. IT Security: GSA's Highly Adaptive Cybersecurity Services (HACS) Special Item Number (SIN). <https://interact.gsa.gov/document/it-security-gsas-highly-adaptive-cybersecurity-services-hacs-special-item-number-sin>

<sup>352</sup> OMB M-19-03. Strengthening the Cybersecurity of Federal Agencies by enhancing the High Value Asset Program. 12/10/2018. <https://www.whitehouse.gov/wp-content/uploads/2018/12/M-19-03.pdf>

<sup>353</sup> OMB M-17-12. Preparing for and Responding to a Breach of Personally Identifiable Information. 1/3/2017. [https://obamawhitehouse.archives.gov/sites/default/files/omb/memoranda/2017/m-17-12\\_0.pdf](https://obamawhitehouse.archives.gov/sites/default/files/omb/memoranda/2017/m-17-12_0.pdf)

<sup>354</sup> CIO Council. Guidance for Chief Information Security Officers (CISO). <https://www.cio.gov/resources/ciso-handbook/>



- Penetration Testing<sup>355</sup>

To purchase HACS solutions through the MAS IT procurement process, see the link to the GSA website which includes a HACS Ordering Guide, the HACS SIN vendor listing on the GSA eLibrary, available experts to advise federal agencies on HACS procurement, as well as materials for state and local government ordering and sample Statement of Work (SOW) and Request for Quote (RFQ) Templates.

## 9.5 OPM Resources

### Hiring Guidance

As outlined in the Future of the Federal IT Workforce Report, inefficiencies in the hiring process has contributed to the Federal government's struggle to bring in talent to the IT workforce in a timely and efficient manner. For example, average times to hire are between 110-170 days based on security clearance level, which is four times longer than in industry.<sup>356</sup> As noted by GAO, these struggles have led to challenges in recruiting and retaining CIOs and IT personnel.<sup>357</sup> In order to bring in skilled IT talent, agency CIOs have increasingly used Special Hiring Authorities, such as Schedule A, to meet specific hiring needs that have not been met by the regular hiring process.

Schedule A has been repeatedly granted by OPM for the hiring of digital services staff working on IT projects for the past several fiscal years but has been limited to Modernization, Smarter IT Delivery, and cloud migration projects. An additional hiring flexibility was released by OPM in October 2018 to meet critical technical and cybersecurity needs; this guidance provides direct hire authorities for a variety of STEM and cybersecurity positions.

OPM's most recent regulation was released in April 2019 as the Delegation of Direct-Hire Appointing Authority for IT Positions<sup>358</sup> which builds on the PMA and EO 13833 and provides two CIO direct hire authorities: one for a severe shortage of candidates, and one for a critical hiring need. Both of these authorities provide for an appointment lasting up to four years with an additional four-year appointment at the agency's discretion. This direct hire authority (DHA) expands agencies' ability to maximize DHA for meeting critical IT hiring challenges beyond the government-wide DHA for IT, which is limited to IT positions related to information security.

### Federal Employee Viewpoint Survey (FEVS)

FEVS is administered annually by OPM and is a voluntary survey of all permanent federal employees. The survey was initially administered bi-annually as the Federal Human Capital Survey (FHCS) beginning in 2002 and has been administered in its current form since 2010. The survey measures employees' perceptions of whether, and to what extent, conditions characteristic of successful organizations are

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<sup>355</sup> GSA. Highly Adaptive Cybersecurity Services (HACS). <https://www.gsa.gov/technology/technology-products-services/it-security/highly-adaptive-cybersecurity-services-hacs>

<sup>356</sup> CIO Council. Future of the Federal IT Workforce Update. May 2020. [https://www.cio.gov/assets/resources/Future\\_of\\_Federal\\_IT\\_Workforce\\_Update\\_Public\\_Version.pdf](https://www.cio.gov/assets/resources/Future_of_Federal_IT_Workforce_Update_Public_Version.pdf)

<sup>357</sup> GAO-19-723T. Talent Management Strategies to Help Agencies Better Compete in a Tight Labor Market. 9/25/2019. <https://www.gao.gov/assets/710/701649.pdf>

<sup>358</sup> OPM. Delegation of Direct-Hire Appointing Authority for IT Positions. 4/5/2019. <https://www.chcoc.gov/content/delegation-direct-hire-appointing-authority-it-positions>

present in their agencies.<sup>359</sup> There are typically around 100 questions and the survey takes about 25 minutes to answer. It is typically released around mid-year and respondents have around six weeks to complete the survey electronically, the typical response rate is above 40% among the more than 1.4 million permanent federal employees. Once the survey period is completed, OPM weighs and analyzes the data and ensures the final data set reflects the agency composition and demographic makeup of the Federal workforce within plus or minus 1 percentage point. The final product is published as an OPM report and provides agency leaders insight into areas where improvements have been made, as well as where improvements are needed.

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<sup>359</sup> OPM. Federal Employee Viewpoint Survey. <https://www.opm.gov/fevs/about/>